Practitioner's Docket No.:

892 001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Kenji Ono

Ser. No.: 09/091,788

Group Art Unit: 3651

Filed: September 8, 1998

Examiner: Sharma, R.

Title: SELF-PROPELLED VEHICLE

Refund Section, Accounting Division Office of Finance

Assistant Commissioner for Patents

Washington, D.C. 20231

I hereby certify that on August 19, 2002 this correspondence is being deposited with the United States Postal Service as first class mail addressed to Refund Section, Accounting Division, Finance Office, Assistant Commissioner for Patents, Washington, DC

Desireé M. Bennett

REQUEST FOR REFUND (IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Sir:

Refund Request

This is a request for a refund, with respect to the charge to Deposit Account 50-1446, shown on the statement dated August 2002, for the above-identified application.

A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

Fees Charged for Which Refund Is Requested

		Amount of Refund Requested
0	Filing fee Excess claims Claims in Excess of 20 Independent Claims in Excess of 3	
0	Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e)) and/or	
0	Surcharge for filing the oath or declaration on a date later than the	
Ø	Extension of term in first month in second month in third month	200.00
	Petition fee	
Ø	Other Notice of Appeal	160.00
	TOTAL REFUND REQUESTED	360.00

Explanation of Why Contested Charge is in Error

On August 8, 2002, a Notice of Appeal with a Petition for a two-month Extension of Time was filed, along with payment of \$360.00 for a small entity. The US PTO improperly charged \$720.00 for a large entity. Enclosed is a copy of the Small Entity Statement filed September 2, 1998, along with our postcard receipt.

MANNER OF REFUND

Please make refund by

- ☑ crediting Deposit Account No. 50-1446.
- ☐ refunding payment.

Respectfully submitted,

August 19, 2002

Date

Kevin C. Brown Reg. No. 32,402

KCB/dmb

BURR & BROWN P.O. Box 7068 Syracuse, NY 13261-7068

Customer No.: 25191 Telephone: (315) 233-8300

Facsimile: (315) 233-8320





Deposit Account Statement

Requested Statement Month: Deposit Account Number:

Name: Attention: Address:

City: State: Zip: August 2002 501446

BURR & BROWN STEPHEN P BURR P.O. BOX 7068 SYRACUSE

NY 13202

DATE SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/02 4 08/02 106 08/05 58 08/05 134 08/06 1 08/07 7 08/07 8 08/09 2 08/09 3 08/09 8 08/14 28 08/14 110 08/14 111	09268759 877536 10115864 878497 09677304 09969458 09969458 09091788 09091788 10094951 E-REPLENISHMENT 10216880 10216880 10216880	789-025 789-056 791-164 791-164 892-001 819_003 819_003 819_003	116 568 704 566 115 115 102 116 119 704 701 101 103 105	\$400.00 \$200.00 -\$360.00 \$15.00 \$110.00 \$110.00 \$84.00 \$400.00 \$320.00 -\$198.00 -\$3,000.00 \$740.00 \$360.00 \$130.00	\$5,176.00 \$4,976.00 \$5,336.00 \$5,321.00 \$5,211.00 \$5,101.00 \$5,017.00 \$4,617.00 \$4,297.00 \$4,495.00 \$7,495.00 \$6,755.00 \$6,395.00 \$6,265.00
00/14 112	START BALANCE \$5,576.00	SUM OF CHARGES \$2,869.00	SUM OF REPLENISH \$3,558.00	END I BALANCE \$6,265.00	

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Your mail room stamp hereon will acknowledge receipt of a Submission of Original Executed Declaration (in duplicate), 3 page Combined Declaration and Power of Attorney, 2 page Verified

Statement (Declaration) Claiming Small Entity, and our check in the amount of \$65.00 for:

(822_004 - September 2, 1998) SPB/cmn

Kenji Ono

Ser. No.: 09/091,788 Filed: June 23, 1998

Art Unit: Not Assigned

For: SELF-PROPELLED VEHIC

104 Rec'd PCT/PTO 08 SEP 1998

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTOR Docket No. 822_004							
Serial	No.	Fi	ling Date	Pate	ent No.		Issue Date
09/091	,788	Jur	ne 23, 1998	Not A	Assigned		Not Assigned
Applicant/ Kenji Ono Patentee:							
Invention: Si	ELF-PROPE	LLED VEHI	CLE				
		4					
As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:							
☐ the	specification	to be filed h	erewith.				
★ the	application ic	dentified abo	ve.				
☐ the	patent identif	fied above.					
I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below: No such person, concern or organization exists. Each such person, concern or organization is listed below.							
rights to the invention averring to their status as small entities (37 CFR 1.27)							
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Copyright 1894 L	.egalsoft		P03/REV01	Pat	ent and Trademark Off	ic e U .S.	DEPARTMENT OF COMMERCE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR Kenji Ono		
SIGNATURE OF INVENTOR	DATE:	August 13, 1998
NAME OF INVENTOR		•
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